

DAC

BAKER BOTTS LLP

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | | |
|---|------------------------|--------------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 09/975,114 |
| | Filing Date | October 11, 2001 |
| | First Named Inventor | Tomonari Ohtsuki |
| | Group Art Unit | 2839 |
| | Examiner Name | Nguyen, Khiem M. |
| Total Number of Pages in This Submission | Attorney Docket Number | A34699 070793.0139 |

ENCLOSURES (check all that apply)

| | | |
|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): See below |
| Remarks <input checked="" type="checkbox"/> 1. Copy of Office Action dated August 27, 2003. 2. A copy of the docket record for March 18, 2003 and June 18, 2003. 3. Return receipt postcard. | | |

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | |
|-------------------------|--|---|
| Firm or Individual name | BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112 | |
| Signature | <i>Eric J. Faragi</i> | Att Name: Eric J. Faragi PTO Reg: 51,259 |
| Date | September 11, 2003 | |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: September 11, 2003

| | | |
|-----------------------|-----------------------|--------------------------|
| Typed or printed name | Eric J. Faragi | |
| Signature | <i>Eric J. Faragi</i> | Date: September 11, 2003 |

BAKER BOTTS LLP

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 0

Complete if Known

Application Number 09/975,114
Filing Date October 11, 2001
First Named Inventor Tomonari Ohtsuki
Examiner Name Nguyen, Khiem M.
Group Art Unit 2839
Attorney Docket No. A34699 070793.0139

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 02-4377
Deposit Account Name Baker Botts LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|------------------------|----------|
| 740 | 370 | Utility filing fee | |
| 330 | 165 | Design filing fee | |
| 510 | 255 | Plant filing fee | |
| 740 | 370 | Reissue filing fee | |
| 160 | 80 | Provisional filing fee | |

SUBTOTAL (1) (\$) 0

2. EXTRA CLAIM FEES

Total Claims 20 ** = 0 X Fee from below = 0
Independent Claims 3 ** = 0 X Fee Paid = 0
Multiple Dependent Claims =

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description |
|-----------------------|-----------------------|--|
| 18 | 9 | Claims in excess of 20 |
| 84 | 42 | Independent claims in excess of 3 |
| 280 | 140 | Multiple dependent claim, if not paid |
| 84 | 42 | ** Reissue independent claims over original patent |
| 18 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|--|----------|
| 130 | 65 | Surcharge - late filing fee or oath | |
| 50 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 130 | 130 | Non-English specification | |
| 2,520 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 920* | 920* | Requesting publication of SIR prior to Examiner action | |
| 1,840* | 1,840* | Requesting publication of SIR after Examiner action | |
| 110 | 55 | Extension for reply within first month | |
| 400 | 200 | Extension for reply within second month | |
| 920 | 460 | Extension for reply within third month | |
| 1,440 | 720 | Extension for reply within fourth month | |
| 1,960 | 980 | Extension for reply within fifth month | |
| 320 | 160 | Notice of Appeal | |
| 320 | 160 | Filing a brief in support of an appeal | |
| 280 | 140 | Request for oral hearing | |
| 1,510 | 1,510 | Petition to institute a public use proceeding | |
| 110 | 55 | Petition to revive - unavoidable | |
| 1,280 | 640 | Petition to revive - unintentional | |
| 1,280 | 640 | Utility issue fee (or reissue) | |
| 460 | 230 | Design issue fee | |
| 620 | 310 | Plant issue fee | |
| 130 | 130 | Petitions to the Commissioner | |
| 50 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 180 | 180 | Submission of Information Disclosure Stmt | |
| 40 | 40 | Recording each patent assignment per property (times number of properties) | |
| 740 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 740 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 740 | 370 | Request for Continued Examination (RCE) | |
| 900 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

SUBMITTED BY

| | | | | | |
|-------------------|-----------------------|-----------------------------------|--------------------|-----------|--------------|
| Name (Print/Type) | Eric J. Faragi | Registration No. (Attorney/Agent) | 51,259 | Telephone | 212.408.2591 |
| Signature | <i>Eric J. Faragi</i> | Date | September 11, 2003 | | |

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Title: ELECTRICAL CONNECTOR

Use Space Below for Additional Information:



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UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/975,114 | 10/11/2001 | Tomonari Ohtsuki | A34699 | 8767 |

21003 7590 08/27/2003

BAKER & BOTTS
30 ROCKEFELLER PLAZA
NEW YORK, NY 10112



EXAMINER

NGUYEN, KHIEM M

ART UNIT PAPER NUMBER

2839

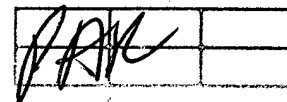
DATE MAILED: 08/27/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

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5-3
03 AUG 2004 AM 9: 50

TO





UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| | | | |
|--------------------|-------------|-----------------------|---------------------|
| APPLICATION NUMBER | FILING DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO. |
|--------------------|-------------|-----------------------|---------------------|

09/975114

10/11/01

OHTSUKI

EXAMINER

ART UNIT

PAPER NUMBER

5

DATE MAILED:

NOTICE OF ABANDONMENT

This application is abandoned in view of:

- ☒ Applicant's failure to timely file a proper reply to the Office letter mailed on 12/18/02.
- ☐ A reply (with a Certificate of Mailing or Transmission of _____) was received on _____, which is after the expiration of the period for reply (including a total extension of time of _____ month(s)) which expired on _____.
- ☐ A proposed reply was received on _____, but it does not constitute a proper reply under 37 CFR 1.113 to the final rejection.
(A proper reply under 37 CFR 1.113 to a final rejection consists only of: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114).
- ☒ No reply has been received.
- ☐ Applicant's failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance (PTOL-85).
- ☐ The issue fee and publication fee, if applicable, was received on _____ (with a Certificate of Mailing or Transmission dated _____), which is after the expiration of the statutory period for payment of the issue fee (and publication fee) set in the Notice of Allowance.
- ☐ The submitted fee of \$ _____ is insufficient. A balance of \$ _____ is due.
The issue fee required by 37 CFR 1.18 is \$ _____. The publication fee, if required by 37 CFR 1.18(d), is \$ _____.
- ☐ The issue fee and publication fee, if applicable, has not been received.
- ☐ Applicant's failure to timely file new formal drawings as required by, and within the three-month period set in, the Notice of Allowability (PTOL-37).
- ☐ Proposed new formal drawings were received on _____ (with a Certificate of Mailing or Transmission dated _____), which is after the expiration of the period for reply.
- ☐ The proposed new formal drawings filed on _____ are not acceptable and the period for reply has expired.
- ☐ No proposed new formal drawings have been received.
- ☐ The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all of the applicants.
- ☐ The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity under 37 CFR 1.34(a)) upon the filing of a continuing application.
- ☐ The decision by the Board of Patent Appeals and Interferences rendered on _____ and because the period for seeking court review of the decision has expired and there are no allowed claims.
- ☐ The reason(s) below:

Khiem Nguyen
KHIEM NGUYEN
PRIMARY EXAMINER

*U.S. GPO: 2000-472-999/43202

Monday, September 08, 2003

Call Up Report

Page: 1

From: 18-Mar-2003 To: 19-Mar-2003

CALLUPS FOR RAGUSA, P.A.
EXTENSION 2588

| Case Number | US | Atty(s) Inventor(s) | Action Due | Date Due | Bill Code | Type |
|-------------|----|---------------------|--|-------------|---------------------|------|
| 35588 | US | | IDS, IF ANY, DUE IDS, IF ANY, DUE Due Date | 19-Mar-2003 | BARKER, BRETTTEL | PAT |
| 35588 | US | | Information Disclosure Stmt Information Disclosure Stmt Due Date | 19-Mar-2003 | BARKER, BRETTTEL | PAT |

Monday, September 08, 2003

Call Up Report

Page: 1

From: 18-Jun-2003 To: 19-Jun-2003

CALLUPS FOR RAGUSA, P.A.
EXTENSION 2588

| Case Number | Atty(s) | Inventor(s) | Action Due | Date Due | Bill Code | Type |
|-------------|---------|------------------|---|-------------|-----------------------------------|------|
| 31816-I | US | HYT LURYI ET AL. | Foreign Filing Foreign Filing 6 mon reminder Due Date | 18-Jun-2003 | RESEARCH FOUNDATION OF SUNY | PAT |
| 31816-II | US | HYT LURYI ET AL. | Foreign Filing Foreign Filing 6 mon reminder Due Date | 18-Jun-2003 | RESEARCH FOUNDATION OF SUNY | PAT |
| 31816-III | US | HYT LURYI ET AL. | Foreign Filing Foreign Filing 6 mon reminder Due Date | 18-Jun-2003 | RESEARCH FOUNDATION OF SUNY | PAT |
| 31816-IV | US | HYT LURYI ET AL. | Foreign Filing Foreign Filing 6 mon reminder Due Date | 18-Jun-2003 | RESEARCH FOUNDATION OF SUNY | PAT |

Monday, September 08, 2003

Call Up Report

Page: 2

From: 18-Jun-2003 To: 19-Jun-2003

CALLUPS FOR RAGUSA, P.A.
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| Case Number | Atty(s) | Inventor(s) | Action Due | Date Due | Bill Code | Type |
|---------------|---------|------------------|---|-------------|-----------------------------------|------|
| 31816-V | US | HYT LURYI ET AL. | Foreign Filing Foreign Filing 6 mon reminder Due Date | 18-Jun-2003 | RESEARCH FOUNDATION OF SUNY | PAT |
| 31470-PCT-USA | US | HYT KAO, ET AL. | US-3 MONTH OA 3 MON DUE DATE Due Date | 18-Jun-2003 | RESEARCH FOUNDATION OF SUNY | PAT |
| 35532-A | US | AROUSSEI ET AL. | US-MISS PRT - 2 FINAL DEADLINE Final | 18-Jun-2003 | BARKER, BRETTTEL | PAT |

RESPONSE MAILED 3/17/03 C.M.

Monday, September 08, 2003

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| 31470-PCT-USA | US HYT KAO, ET AL. | US-3 MONTH OA 3 MON DUE DATE Due Date | 18-Jun-2003 | RESEARCH FOUNDATION OF SUNY | PAT |
| 35532-A | US AROUSSI ET AL. | US-MISS PRT - 2 FINAL DEADLINE Final | 18-Jun-2003 | BARKER, BRETTLELL | PAT |

RESPONSE MAILED 3/17/03 C.M.